

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Van Noy et al.
SERIAL NO.: Not yet assigned GROUP NO.: Not yet assigned
FILING DATE: 11/13/03 EXAMINER: Not yet assigned
TITLE: Shoe Ventilation System

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

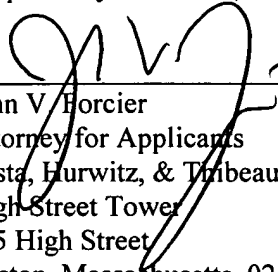
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



John V. Forcier
Attorney for Applicants
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: November 13, 2003
Reg. No. 42,545

Tel. No.: (617) 248-7675
Fax No.: (617) 248-7100

FORM PTO – 1449				ATTORNEY DOCKET NO.: ADI-098			
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Van Noy et al.			
				SERIAL NO.: Not Yet Assigned			
				FILING DATE: Herewith GROUP: Not Yet Assigned			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		6,196,556	3/6/01	Bonaventure et al.			
		6,085,444	7/11/00	Cho			
		6,044,577	4/4/00	Clark			
		6,041,519	3/28/00	Cheng			
		6,006,447	12/28/99	Neal et al.			
		5,996,250	12/7/99	Reed et al.			
		5,946,824	9/7/99	Tighe et al.			
		5,845,418	12/8/98	Chi			
		5,826,349	10/27/98	Goss			
		5,815,949	10/6/98	Sessa			
		5,738,937	4/14/98	Baychar			
		5,714,229	2/3/98	Ogden			
		5,699,627	12/23/97	Castro			
		5,697,171	12/16/97	Phillips			
		5,655,314	8/12/97	Petracci			
		5,607,745	3/4/97	Ogden			
		5,584,130	12/17/96	Perron			
		5,465,508	11/14/95	Bourdeau			
		5,342,070	8/30/94	Miller et al.			
		5,319,866	6/14/94	Foley et al.			
		5,235,761	8/17/93	Chang			
		4,894,932	1/23/90	Harada et al.			
		4,893,418	1/16/90	Ogden			

		4,837,948	6/13/89	Cho			
		4,835,883	6/6/89	Tetrault et al.			
		4,831,749	5/23/89	Tsai			
		4,754,559	7/5/88	Cohen			
		4,739,765	4/26/88	Sydor et al.			
		4,693,021	9/15/87	Mazzarolo			
		4,635,385	1/13/87	Ogden			
		4,619,055	10/28/86	Davidson			
		4,485,568	12/4/84	Landi et al.			
		4,290,211	9/22/81	Csengeri			
		4,151,660	5/1/79	Yoshimi et al.			
		3,555,709	1/19/71	Raffaelli			
		3,426,455	2/11/69	Drago			
		2,751,692	6/26/56	Cortina			
		2,722,063	11/1/55	Drefvelin			
		2,614,339	10/21/52	Herceg			
		2,457,944	1/04/49	Vlastos			
		2,434,024	1/6/48	Vlasak			
		2,432,533	12/16/47	Margolin			
		2,356,490	8/22/44	Crotty			
		2,347,207	4/25/44	Margolin			
		2,334,719	11/23/43	Margolin			
		1,994,681	3/19/35	Blumenfeld			
		1,828,320	10/20/31	Daniels			
		1,535,207	4/28/25	Dorff			
		1,106,986	8/11/14	Stucki			
		592,822	11/2/1897	Parker			
		570,814	11/3/1896	Owen			
		556,825	3/24/1896	King			
		60,987	1/8/1867	Ayer			
		41,879	3/8/1864	Webb			

		30,391	10/16/1860	Dexter					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER					DATE CONSIDERED				

2706643_1